

O I P E

Practitioner's Docket No. 17356-703

JUN 14 2000

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cailloux

Group No.: 2772

Serial No.: 09/500,702

Examiner: Not Assigned

Filed: February 9, 2000

For: METHODS AND APPARATUS FOR SUPPORTING AND IMPLEMENTING COMPUTER BASED ANIMATION

Commissioner for Patents  
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION**

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 04/10/2000. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**POWER OF ATTORNEY**

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

**SMALL ENTITY STATUS**

- IV. A statement that this filing is by a small entity is attached.

**COMPLETION FEES**

V.	1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$345.00
	1. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$54.00
	2. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$117.00
	3. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
	4. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
	Total completion fees	\$621.00

**EXTENSION OF TIME**

- VI. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.  
Fee Due: \$ 0

**REQUEST FOR CORRECTED FILING RECEIPT**

- VII. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in

Correct data

1. Priority Information

Under Contining Data as Claimed by Applicant, please replace the word "Which" with --AND--

**TOTAL FEE DUE**

- VIII. The total fee due is: Completion fees \$ 621

**TOTAL FEE DUE \$621****PAYMENT OF FEES**

- IX. Charge Account No. 23-2415(17356-703) in the amount of \$621.

Please charge Account No. 23-2415 (17356-703) for any fees that may be due by this paper.

Shaalu Mehra, Reg. No. 44,934

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 6/9/2000  
Date

Signature  
6/9/2000  
Date



FORMALITIES LETTER



OC00000005041217\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

file:///c:/APPS/preexam/correspondence/3.htm

*H Sector*

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/500,702	02/09/2000	Phillipe Cailloux	17356-703

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

Date Mailed: 04/10/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$342.
  - \$108 for 6 total claims over 20.
  - \$234 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1162.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/16/2000 AGDITM 0000022 232415 09500702

01 FC:201	345.00 CH
02 FC:205	65.00 CH
03 FC:202	117.00 CH
04 FC:203	54.00 CH



RECEIVED

APR 14 2000

FILING RECEIPT

WILSON, SONSINI,  
GOODRICH & ROSATI

\*OC000000005041216\*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/500,702	02/09/2000	2772	0	17356-703	9	26	6

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

RECEIVED  
MAY 11 2000

Date Mailed: 04/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Phillipe Cailloux, Sunnyvale, CA ;  
Phac Le Tuan, San Jose, CA ;  
Dan Lipton, San Francisco, CA ;  
Antonio Tuzzi, Sunnyvale, CA ;  
Jerome Magnier, Paris, FRANCE;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 08/891,038 07/10/1997  
[WHICH] CLAIMS BENEFIT OF 60/119,369 02/09/1999  
AND

Foreign Applications

If Required, Foreign Filing License Granted 04/10/2000

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Title

Methods and apparatus for supporting and implementing computer based animation

Preliminary Class

345

Data entry by : HINES, BRENDA

Team : OIPE

Date: 04/10/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231